

Attorney Docket No. TEQ 1117005

#3

---

## COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,  
CONTINUATION, OR C-I-P)

---

As a below named inventor, I hereby declare that:

### TYPE OF DECLARATION

This declaration is for an original application.

### INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship is as stated below, next to my name. I believe that I am the original, first and sole inventor of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

### TITLE OF INVENTION

**METHOD OF REPEATEDLY SECURITIZING INTELLECTUAL PROPERTY  
ASSETS AND FACILITATING INVESTMENTS THEREIN**

### SPECIFICATION IDENTIFICATION

The specification was filed on **January 11, 2001**  
Serial No.: **09/758,624**

### ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56.

### CLAIM FOR BENEFIT OF PRIOR U. S. PROVISIONAL APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional applications listed below:

Provisional Application No. 60/175,572, filed January 11, 2000  
Non-Provisional Application No. 09/481,126, filed January 11, 2000

## POWER OF ATTORNEY

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Gregory W. Carr  
Paul V. Storm  
Jack D. Stone, Jr.  
Robin L. Barnes  
Roger C. Knapp

Registration Number 31,093  
Registration Number 35,803  
Registration Number 38,324  
Registration Number 45,936  
Registration Number 46,836

of the law firm of Carr & Storm, L.L.P. and  
Customer Number 021909

I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

### SEND CORRESPONDENCE TO

Paul V. Storm  
CARR & STORM, L.L.P.  
670 Founders Square  
900 Jackson Street  
Dallas, TX 75202

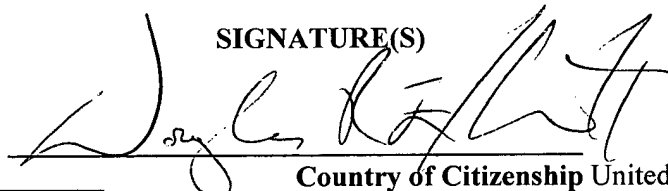
### DIRECT TELEPHONE CALLS TO:

Paul V. Storm  
(214) 760-3001

## DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Douglas R. Elliott  
**Inventor's signature**  
**Date** 2/20/01  
**Residence**  
**Post Office Address**

**SIGNATURE(S)**  


**Country of Citizenship** United States of America  
St. Louis, Missouri  
1412 Chesterfield Estates Dr.  
St. Louis, Missouri 63005

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN**Docket Number (Optional)  
TEQ 1117005Applicant, Patentee, or Identifier: Douglas R. ElliottApplication or Patent No.: 09/758,624Filed or Issued: Filed January 11, 2001Title: Method of Repeatedly Securitizing Intellectual Property Assets and Facilitating Investments Therein

I hereby state that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN TEQ DevelopmentADDRESS OF SMALL BUSINESS CONCERN 9216 Clayton Road, Suite 20  
Saint Louis, Missouri 63124

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the application identified above.
- ☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
- ☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING DOUGLAS R. ELLIOTTTITLE OF PERSON IF OTHER THAN OWNER MANAGING DIRECTOR AND CO-OWNERADDRESS OF PERSON SIGNING 1410 CHESTERFIELD ESTATES DR. CHESTERFIELD MO 63005SIGNATURE Douglas R. Elliott DATE 2/20/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.